



#3

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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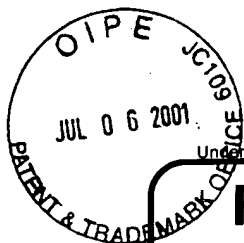
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/839,328
	Filing Date	04/20/2001
	First Named Inventor	Christopher McQuown
	Group Art Unit	Not Assigned
	Examiner Name	Not Assigned
Total Number of Pages in This Submission	Attorney Docket Number	20-EB-5007/624226.307

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Change of Correspondence Address 2) Notice to File Missing Parts 3) Recordation Form Cover Sheet 4) Declaration and Power of
Remarks		Attorney For Patent Application 5) Submittal of Formal Drawings 6) Post Card 7) Request for Correction of Filing Receipt

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Enrique J. Mora, Esquire Reg. No. 36,875 Beusee, Brownlee, Bowdoin & Wolter, P. A.
Signature	
Date	July 2, 01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 7/3/2001			
Typed or printed name	Evelyn J. Gish		
Signature		Date	7/3/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#3

PTO/SB/17 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 170.00)

**Complete if Known**

Application Number	09/839,328
Filing Date	04/20/2001
First Named Inventor	Christopher McQuown
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	20-EB-5007/624226.307

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

07-0846

Deposit  
Account  
Name

General Electric Company

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	690	201	345	Utility filing fee
106	310	206	155	Design filing fee
107	480	207	240	Plant filing fee
108	690	208	345	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ 0)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
179	690	279	345	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00)

**SUBMITTED BY**

Name (Print/Type)

Enrique J. Mora, Esquire

Registration No.  
(Attorney/Agent)

36,875

Complete (if applicable)

Telephone

(407) 926-7705

Signature

Date

July 2, 01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re Application of:	)	Group Art Unit:	Not Assigned
	)		
Applicant: Christopher McQuown	)	Examiner:	Not Assigned
	)		
Serial No. 09/839,328	)		
	)		
Filed: 04/20/2001	)		
	)		
For: Method For Guiding Repair	)		
or Replacement Of Parts	)		
For Generally Complex	)		
Equipment	)		

Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

Enclosed is a marked up copy of the Filing Receipt for the subject application.

Please correct Attorney Docket No. from 20-EB-5007/624226.303 to

**20-EB-5007/624226.307.**

Please issue a corrected filing receipt to reflect this information.

Respectfully submitted,

Enrique J. Mora

Reg. No. 36,875

Beusse, Brownlee, Bowdoin

& Wolter, P. A.

390 North Orange Ave., Suite 2500

Orlando, FL 32801

(407) 926-7705

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY that this Request for Correction of Filing Receipt is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Official of Initial Patent Examination, Customer Service Center, Washington, DC 20231 on this 3 day of July, 2001.

  
Evelyn J. Gish



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/839,328	04/20/2001	2171	710	20-EB- 307 5007/624226.303	11	13	2

25102  
HOLLAND & KNIGHT LLP  
PO BOX 1526  
ORLANDO, FL 32802-1526

RECEIVED  
JUN 27 2001  
BEUSSE, BROWNLEE, BOWDOIN,  
& WOLTER, P.A.

CONFIRMATION NO. 2540

FILING RECEIPT



\*OC000000006195432\*

Date Mailed: 06/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Christopher McQuown, Erie, PA;  
Mark Smith, Residence Not Provided;  
James Edward Schlabach, Erie, PA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/644,421 08/23/2000  
AND CLAIMS BENEFIT OF 60/258,747 12/29/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 06/15/2001

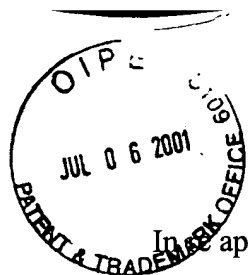
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Method for guiding repair or replacement of parts for generally complex equipment



#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:

Applicants: Christopher McQuown et al. )  
)  
Serial No.: 09/839,328 )  
)  
Filed: April 20, 2001 )  
)  
For: Method For Guiding )  
Repair or Replacement )  
Or Parts For Generally )  
Complex Equipment )

Examiner: Not Assigned

Group Art : Not Assigned

Commissioner of Patents  
Attention: Official Draftsperson  
Washington, D.C. 20231

Sir:

**SUBMITTAL OF FORMAL DRAWINGS**

Enclosed herewith are twelve sheets of formal drawings for FIGS. 1-11.

Please substitute these formal drawings for the drawings now on file in the above-identified patent application.

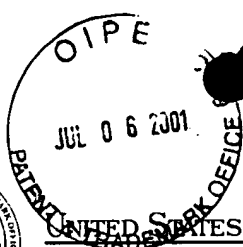
Respectfully submitted,

Enrique J. Mora  
Reg. No. 36,875  
Beusse, Brownlee, Bowdoin  
& Wolter, P.A.  
390 N. Orange, Avenue, Ste. 2500  
Orlando, FL 32801  
(407) 926-7705

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY that these Formal Drawing are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on July 3, 2001.

  
Evelyn J. Gish



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,328	04/20/2001	Christopher McQuown	20-EB-5007/624226.303

CONFIRMATION NO. 2540

25102  
HOLLAND & KNIGHT LLP  
PO BOX 1526  
ORLANDO, FL 32802-1526

## FORMALITIES LETTER



\*OC000000006195433\*

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

07/11/2001 BSAYASI1 00000004 070846 09839328

01 FC:105 130.00 CH